



MANAGEMENT
& TECHNOLOGY
CONSULTANTS

RR Systems

eAudit – Internal Audit Management Solution

Solution Overview - 2024

Contents

1. Company Overview
2. Introduction to eAudit
3. The Journey of eAudit
4. eAudit Customer Success
5. The Benefits of eAudit
6. eAudit Modules and Features
7. Implementation Methodology
8. Support Services
9. Contact Us

Company Overview

RR Systems

Our history in Pakistan goes as far back as 1984 when we were KPMG Consulting. In 2001, we became BearingPoint, and in 2010 we became part of the Mazars Group. Effective from 30th May 2019 we set out to grow under our own brand as RR Systems. Our goal is to establish ourselves as a leader in empowering the modern internal audit department. Our mature solutions include eAudit, our industry leading Internal Audit Management Solution currently implemented throughout Pakistan, with an ever-increasing global presence.

Our Client base includes:

- Major financial institutions including commercial, Islamic, and microfinance organizations
- The leading power generation and distribution company in Pakistan
- A top public sector Oil & Gas company

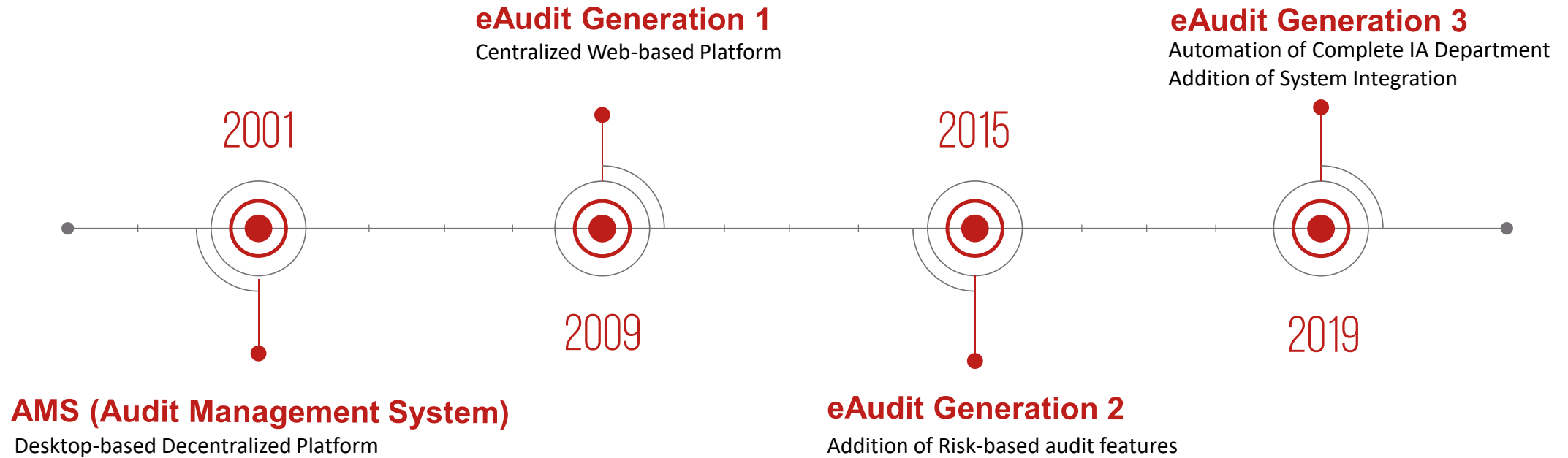


■ Introduction to eAudit

RR Systems has developed a mature and robust **Internal Audit Management Solution** that helps ensure **audit compliance** while promoting **audit efficiency** and **standardization**. With more than **20 years experience** and success implementing our proprietary solution **eAudit**, we have earned our customers' trust by building long-term relationships, establishing ourselves as a **leader** in the **digitization and automation** of the internal audit department.



The Journey of eAudit



eAudit Customer Success



The Benefits of eAudit

Some of the numerous benefits of eAudit are:

- Enables **standardization** and **efficiency** across the audit department
- **Seamless integration** with external systems for data fetching and population gathering
- Highly **configurable** and **flexible** to meet your current and future requirements
- **Up-to-date** with latest **IAA standards**
- Developed **closely** with experts across the industry
- **One-time** perpetual license fee for **unlimited users**
- **Dedicated** Support Services

■ An Internal Audit Solution for BFIs

Internal Audit Management System **tailor-made** for **banks** and **financial institutions**.

Built in **close collaboration** with BFI internal audit departments to meet **specific** industry requirements.

Offers **comprehensive features** and benefits to **streamline** audit processes and enhance **efficiency**.



■ Core Benefits for BFIs

Purpose-Built
for BFIs

Risk-Focused
Planning and
Execution

Efficiency and
Standardization

Stakeholder
Engagement

Data-Driven
Insights

Configurable
and Scalable

eAudit Modules and Features

Management & Planning

System Administration
Audit Universe
User Management & Access Control
Risk Control Matrix
Audit Program Management
Risk Assessments
Risk Management
Annual & Periodic Planning
Time & Expense Budgets
Standard Observations & Root Cause Management

Audit Activities

Population Gathering & Data Sampling
Engagement Planning
Information Requests
Audit Fieldwork (Working Paper, RCM, Checklist-Based & Party-Wise)
Draft & Final Reporting
Compliance & Follow-up
Quality Assurance
Ad-Hoc Assignments
Auditee Portal
Timesheet & Expense Reports

Additional Features

Continuous Audit
Thematic & Special Assignments
Investigations
Dashboards & MIS
Integration with Existing Systems
Offline Operation
ACOB Meetings
Third Party & Regulatory Audits
HR Management
Auditee Satisfaction Surveys
Document & Knowledge Libraries

System Administration

The Administration module has been designed to be highly **parameterizable** allowing users a greater level of control to configure system parameters including:

- Application and reporting parameters
- Active Directory and LDAP settings
- Audit report formats & logos
- On-screen labels, messages, and lists
- Reminder schedules and escalation workflows
- Task and approval workflows
- Users and roles
- Holiday calendars

The screenshot displays the eAudit System Administration interface, specifically the 'Application Parameter' configuration page. The page is organized into several sections for different parameter groups:

- General Parameters:** Includes fields for 'Number of Records on Review Screen' (10), 'Number of Help Records' (10), 'Event Logging' (No), 'Attached Document Location' (c:\eAuditDocument), 'Application URL' (http://localhost/eAuditRisk), 'Allow all Devices' (Yes), 'Help Files Location' (C:\eaudit-help), and 'Maximum Size of Attachment' (104,857,600).
- Reporting Server:** Includes 'Jasper Report URL' (http://172.24.81.221:8080/jasperserver/flow.h), 'Jasper Report Folder Name' (ParentFolderUri=%2FReports%2F%2FeAuditRisk_F), 'Jasper Report Admin User' (jasperadmin), and 'Jasper Report Admin Password' (*****).
- LDAP Parameters:** Includes 'Active Directory Enabled' (No), 'Host Name' (ldap://mail1.mazars.pk:389), 'Administrator user' (abdu1.ghaffar), 'Administrator Password' (*****), 'Search Base' (DC=mazars,DC=pk), 'Active Directory Validation Column' (sAMAccountName), 'Email Address Column' (mail), 'Department Column' (department), 'Title Column' (title), 'SAM Account Column' (sAMAccountName), 'Display Name Column' (displayName), and 'LDAP Account' (Test LDAP).
- Email Parameters:** Includes 'Email Enabled' (No), 'Email Timer' (5), 'From Email Account' (notification@mail1.mazars.pk), 'From Email Account Password' (*****), 'Host Name' (10.92.123.100), 'Port' (587), 'Domain' (mazars.pk), and 'Email Account' (Test Email).
- Logos:** Includes 'Login Page' (Upload button, Full Logo - Centered - Uppercase), 'Audit Report Cover Page' (Upload button, Small Logo - Centered), and 'Audit Report Header' (Upload button, Small Logo - Centered).

A sidebar on the right contains a navigation menu with options: Application Parameters, Roles, Users, Resource Replacement, Holiday Calendar, Information Request Reminder Schedule, Follow-up Schedule, Dashboard Setup, Password Alias, Labels, Messages, Root Cause Setup, Satisfaction Survey Setup, List of Values, Task Management Setup, Workflow, Audit Report Formats, Audit Logs, Email Setup, Reports Setup, Country Setup, and Reports.

Audit Universe Management

Define **multi-level hierarchies** and create a universe of auditable entities and processes. Assign owners, entity specific details, and link regular/ ad-hoc audit programs.

The screenshot displays the eAudit Audit Universe Management interface. The top navigation bar includes tabs for Audit Universe, A&RR, ACOB, Risk Assessment, Planning, Fieldwork, Audit Report, Follow-up & Rectification, Libraries, Analytics, and Administration. The main content area is divided into two sections: a table of audit entities and a detailed view of an auditable entity.

Audit Universe Table:

Edit	Audit Area	Audit Group	Audit Sub Group	Group	Sub-Group	Region	Entity	Approval Status	Delete
	BSG-IslamicTreasury-Ops-TROPS-Karachi-Islamic-TROPS	Shariah Audit	Shariah Audit	Banking Services Group	IslamicTROPS	TROPS-Karachi	Islamic Treasury Operation	Work in progress	
	ISA-101-BSG-BSG-SWIFT	Management & IS	IS & Continuous	Banking Services	Banking Services	Banking Services	SWIFT Customer Security Controls Framework Implementation	Reviewed	
							Satellite Town Gujranwala	Reviewed	
							ore City Circular Road Lahore	Reviewed	
							rat G.T. Road, Gujrat	Reviewed	
							salabad Regency Plaza Faisalabad	Reviewed	
							salabad Akbar Abad Faisalabad	Reviewed	
							ranwala Peoples Colony Gujranwala	Reviewed	
							ranwala Wapda Town Branch Gujranwala	Reviewed	
							rat Mallu Khokar District Gujrat	Reviewed	

Auditable Entity Details:

Group: Banking Services Group
Sub-Group: BSG-North 1
Region: BSG Lahore City
Entities: Circular Road Lahore
Audit Group: Branch Audit (North 1 & North 2)
Audit Sub Group: Regional Audit Office, Lahore
Audit Area: 5106-BSG-CircularRoadLahore-BSGLahoreCity-BSG-North1
Benchmark Days: 12.00
Frequency of Audit: Annually
Entity Code: 5106
Risk Nucleus Entity Code:
Audit Rating: C
Risk Rating: Low
Entity Size: Small
Entity Type: Branch

Approval:

Status: Disabled
Approval Status: Reviewed
Comments:

User Management & Access Control

eAudit comes with a built-in list of over **300 permissions**, relating to each module and associated functionality, that can be assigned to any role. Users can be assigned **multiple roles** for a **completely configurable** role-based access including permissions for:

- Menu access
- View/ Create/ Edit/ Delete authority
- Review/ Approval authority

Additionally, users can be allocated to specific departments, ensuring their access is **restricted** to viewing engagement data exclusively within their designated department.

The screenshot displays the eAudit system interface, divided into two main sections: 'Roles' and 'Review Users'.

Roles Section:

- Search:** Includes fields for Role, Role Type, and a Search button.
- Table:** Lists roles with columns for Role, Role Type, Number of Assigned Users, and a Delete button.
- Data:**

Role	Role Type	Number of Assigned Users	Delete
Auditee	Auditee	1976	X
Compliance Co-Ordinator Group Office	Auditor	0	X
Compliance Co-Ordinator Regional Office	Auditor	0	X
Departmental Head	Auditor	15	X
Departmental Head BB Management Audit	Auditor	4	X

Review Users Section:

- Search:** Includes fields for Login ID, User Name, Status, User Type, Role Name, Audit Division, and Audit Department, along with a Search button.
- Table:** Lists users with columns for Login ID, User Name, User Type, Role(s), and Status.
- Data:**

Login ID	User Name	User Type	Role(s)	Status
aaqib.masood	Aaqib Masood	Auditor	Team Member, Team Member Branch	Active
adnan.nasir	Adnan Nasir	Auditor	Team Member, Team Member Management Audit, Regional Coordinator	Active
ahmadhassan	Ahmad Hassan	Auditor	Team Member, Team Member Branch	Active
ahsan.masood	Ahsan Masood	Auditor	Team Lead, Team Member, Team Lead Branch, Team Member Branch	Active
ahsan.t	Ahsan Tahir	Auditor	Team Member	Active
akhlaquek	Akhlaque Khan Ghauri	Auditor	Departmental Head	Active
amjad.h	Amjad Hussain	Auditor	Team Lead, Team Member, Team Lead Branch, Team Member Branch	Active
anil.patel	Anil Moloo Patel	Auditor	Team Member, Team Member Branch	Active
aqeeb.ahmed	Aqeeb Ahmed Raazaqi	Auditor	Team Member, Team Member Branch	Active
arsalan.khan	Arsalan Ali Khan	Auditor	Team Lead, Team Member, Unit Head, Unit Head RBA, Team Lead Branch, Team Member Branch, Unit Head BB Management Audit	Active
arsalan.halder	Arsalan Halder	Auditor	Team Lead, Team Member	Active
arsalan.munir	Arsalan Munir	Auditor	Team Member	Active
athar.k	Athar Karim Khan	Auditor	No role assigned	Active
attiqur.rehman	Attiqur Rehman	Auditor	Team Lead, Team Member, Team Lead Management, Team Member Management Audit, Team Member Branch, Team Lead Branch	Active
nadeem.j	Bilal Gul	Auditor	Team Lead, Team Member	Active

Workflow Management

eAudit's Workflow Management allows for a completely **configurable** and **multi-level** review/ approval processes throughout the system and can be defined for each department

The screenshot displays the eAudit Workflow Management interface. The top navigation bar includes the eAudit logo and various menu items: Audit Universe, A&RR, Risk Assessment, Planning, Fieldwork, Audit Report, Follow-up & Rectification, Libraries, Analytics, Staff Management, and Administration. A 'Workflow' button is highlighted in the top right. Below the navigation bar, a 'Module' dropdown is set to 'Engagement'. A 'Save' button is visible on the left, and a 'Back' button is on the right. The main area features a table titled 'Workflow Steps' with columns for Responsibility, Role, User, Sequence, and Delete. The table lists several steps, including Planning Reviewer, Draft Report Reviewer, Final Report Reviewer, Planning Preparer, and Group Head, each with a corresponding role and sequence number. A 'Delete' column with red 'X' icons is also present.

Responsibility	Role	User	Sequence	Delete
Planning Reviewer	Regional Head		1	X
Planning Reviewer	Unit Head		1	X
Draft Report Reviewer	Regional Head		2	X
Draft Report Reviewer	Unit Head		2	X
Final Report Reviewer	Regional Head		2	X
Final Report Reviewer	Unit Head		2	X
Draft Report Reviewer	Group Head		4	X
Final Report Reviewer	Group Head		4	X
Planning Preparer	Regional Head			X
Planning Preparer	Unit Head			X

■ Process Library & Risk Register

eAudit's **centralized** Process Library helps define the scope of your engagements. Each Audit Type can have its own list of processes, risks, and controls which can be linked to checklists/ working papers/ activities within the Audit Program in line with the latest **RCM based** audits.

Setup includes defining:

- Process and control owners
- Risk impact and rating
- Control categories and control frequency

The screenshot displays the eAudit Process Library (MGMT) interface. The main table lists controls with columns for Type, Description, Status, and Action. An 'Edit Test' modal is open, showing fields for Working Paper Name, Test Name, Test Custom Code, Department, ICFR Mapped, Reference Type, Circular/Section/Clause, Year, Source, Category, Process, Risk, Control, and TOC Name. The modal also includes a 'Save' button at the bottom.

Type	Description	Status	Action
▼ (P-1)	Management Control Framework (ICU)	Active	✚ ✎ ✖
▼ (R-1)	Governance and oversight body (s), with appropriate senior management representation, is not in place to set ICU Policy, oversee ICU activities and take corrective actions where require	Active	✚ ✎ ✖
(C-1)	Senior Management Committee (CCM) timely conduct meetings to discuss material issues of FBL.	Active	✚ ✎ ✖
▼ (R-2)	No formal strategy in place for the ICU function and/or strategy is not aligned to Bank's management strategy.	Active	✚ ✎ ✖
(C-1)	Board approved strategy is in place	Active	✚ ✎ ✖
▼ (R-3)	Policies and procedures are not in place and/or do not capture key processes and risks inherent in ICU activities and/or are not approved by the relevant governance committee (s);	Active	✚ ✎ ✖
(C-1)	Policy and Procedures of ICMU are in place.	Active	✚ ✎ ✖

Audit Program Management

Maintain **centralized** audit programs with **version control** and enable an efficient and standardized audit process.

eAudit supports multiple program types including **checklists** (instance-based), **working papers** (descriptive), and **item-based** (party-wise) Audits

Link audit programs to the risk register and multi-level standard observation library for **in-depth analysis** of audit findings.

The screenshot displays the eAudit Audit Program Management interface. The top navigation bar includes links for Audit Universe, A&RR, ACOB, Risk Assessment, Planning, Fieldwork, Audit Report, Follow-up & Rectification, Libraries, Analytics, Administration, and Auditee Portal. The main form is titled 'Audit Program' and contains two sections: 'Audit Program' and 'Audit Program Code'. The 'Audit Program' section includes fields for 'Audit Program Type' (RCM Base), 'Multiple Standard Observations' (Yes), 'Follow-up Outside System' (Yes), 'Quality Assurance Checklist' (qa), 'Audit Rating factor' (1), and 'Audit Group' (Management Audit). The 'Audit Program Code' section includes fields for 'Audit Program Code' (50052), 'Follow-up Level' (Observation Level), 'Audit Report Format' (Audit Report - FBL), 'Engagement Letter Format' (Engagement Planning Report - FBL), 'Management Comment Timeline' (30), and 'Default Resolution Timeline' (30). Below the form, there is a table titled 'Checklist' with columns 'Description' and 'Action'. The table contains several rows of audit findings, each with a green plus icon, a yellow pencil icon, and a red X icon in the 'Action' column.

Description	Action
Application controls	+
Checked application controls over inputter and authorisers including FRC and Cash-link.	✗
Assessment by HR	+
During the review, IA obtained the JDs and checked that JD's were updated of the new employees that were hired in the ERM and necessary trainings were provided to the staff which was validated through HR staff training data.	✗
Availability of RCMs	+
IA thoroughly reviewed RCMs on sample basis and found to be correct which were in line with the structure and processes of the respective segment.	✗
Availability of info.	+
"Reviewed Cash management and Home remittance related MI, checked that it covers minimum information as per regulatory guidelines. Also Checked the difference between payment date and beneficiary date to evaluate whether transactions were processed on timely basis."	✗
Back Testing	+
IA obtained the back testing results of VaR model. During the review of back testing of VaR IA noted that VaR model was found to be working ineffectively as per the back-testing results. No action measures were discussed on the improvement of the model for it to work effectively in the future.	✗
Board approved strategy.	+
"IA obtained ICU strategy and noted ICMU strategy is in place for the ICMU function and is aligned with the Banks strategy set by the CCM. Conclusion: Adequate and Effective"	✗

External System Integration

eAudit includes a **highly configurable** module for **integration** with external systems (e.g. data warehouse) and allows for fetching of:

- Entity Performance Data
- Quantitative Values for Risk Assessment (No. of Transactions, Total Value of Deposits, etc.)
- Automated Population and Sampling

The screenshot displays the 'Data Set' configuration window in the eAudit application. The window is divided into several sections:

- Configuration Fields:** Includes 'Data Source Name' (CDB), 'Data Set Name' (V_EP_CASH_HOUSE), 'Data Set Type' (Sampling), 'Table/View Name' (Select), 'Entity Column' (CO_CODE), 'Period Column' (BOOKING_DATE), 'Identification Column', 'Person Responsible Columns', 'Sort Column', and 'Sort Order'.
- SQL Query:** A text area containing a complex SQL query for selecting transaction data from the CDB database.
- Table Columns:** A table listing columns from the data source, including their order, names, aliases, types, lengths, and selection status.

Order	Column Name	Column Alias	Column Type	Column Length	Select	Filter
1	AMOUNT_ICY	AMOUNT_ICY	Quantitative	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2	ACCOUNT_LOCATION	ACCOUNT_LOCATION	Qualitative	3	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3	BOOKING_TIME	BOOKING_TIME	Qualitative	8	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4	ACCOUNT_NUMBER	ACCOUNT_NUMBER	Qualitative	2000	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5	COMPANY_CODE	COMPANY_CODE	Qualitative	2000	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6	CO_CODE	CO_CODE	Qualitative	4	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7	TRANSACTION_CODE	TRANSACTION_CODE	Qualitative	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8	PRODUCT_CATEGORY	PRODUCT_CATEGORY	Qualitative	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>

The 'Data Strata' window is also visible, showing configuration for 'Data Source' (CDB), 'Data Set' (V_EP_CASH_RECEIPTS), and 'Data Strata Name' (V_EP_CASH_RECEIPTS). It includes sections for 'Filter Columns' and 'Sampling Techniques'.

Column Name	Column Type	Function	Column Value	Delete
TRANSACTION_CC	Quantitative	in	('270'; '301'; '710')	<input checked="" type="checkbox"/>

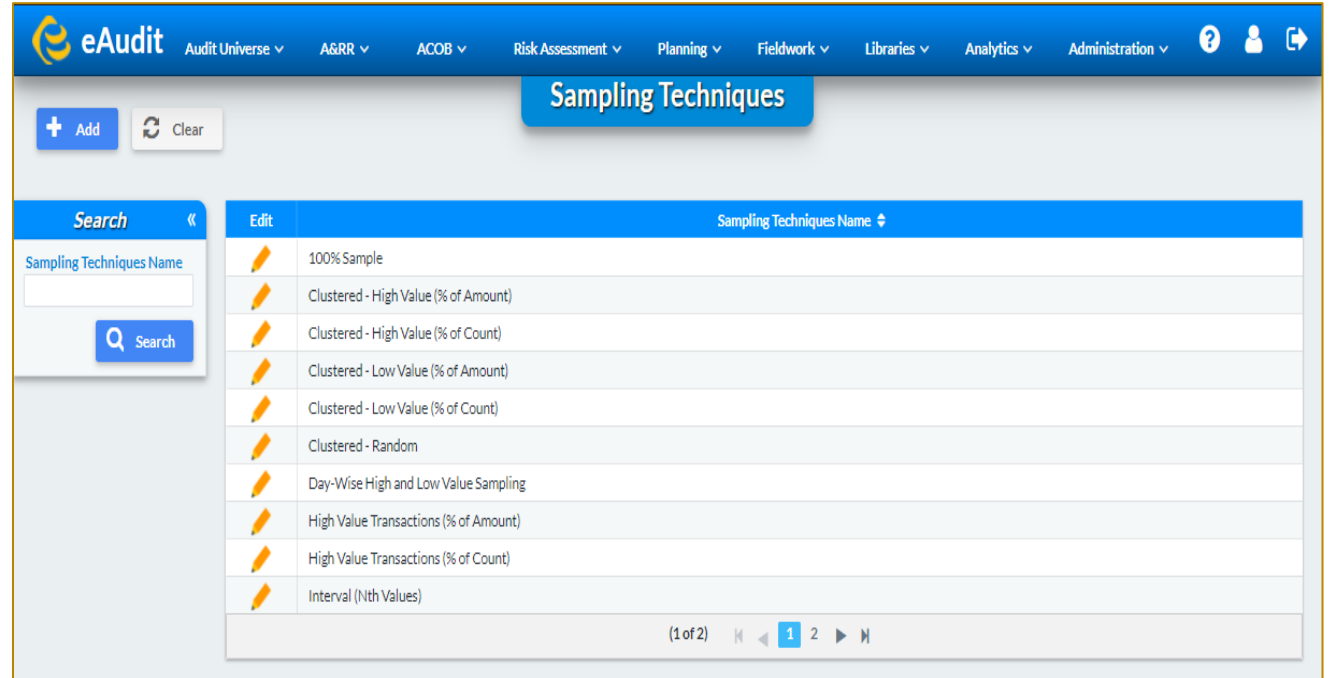
Precedence	Sampling Technique	Parameters	Delete
1	Random Sample (%)	40.00	<input checked="" type="checkbox"/>

Data Sampling











eAudit supports several Sampling Techniques which can be **combined** to create a sample set from a population.

The system can be configured to fetch population data from connected systems and **automatically** perform defined sampling techniques

Users can also **upload** population as Excel files and **generate** samples during the engagement



The screenshot shows the eAudit web application interface for managing sampling techniques. The top navigation bar includes the eAudit logo and various menu items: Audit Universe, A&RR, ACOB, Risk Assessment, Planning, Fieldwork, Libraries, Analytics, and Administration. Below the navigation bar, there are buttons for '+ Add' and 'Clear'. The main content area is titled 'Sampling Techniques' and features a search bar on the left with the placeholder text 'Sampling Techniques Name' and a 'Search' button. The central part of the interface is a table with a blue header row containing 'Edit' and 'Sampling Techniques Name'. The table lists ten different sampling techniques, each with an edit icon (a pencil) in the 'Edit' column. The techniques listed are: 100% Sample, Clustered - High Value (% of Amount), Clustered - High Value (% of Count), Clustered - Low Value (% of Amount), Clustered - Low Value (% of Count), Clustered - Random, Day-Wise High and Low Value Sampling, High Value Transactions (% of Amount), High Value Transactions (% of Count), and Interval (Nth Values). At the bottom right of the table, there is a pagination control showing '(1 of 2)' and page numbers '1' and '2'.

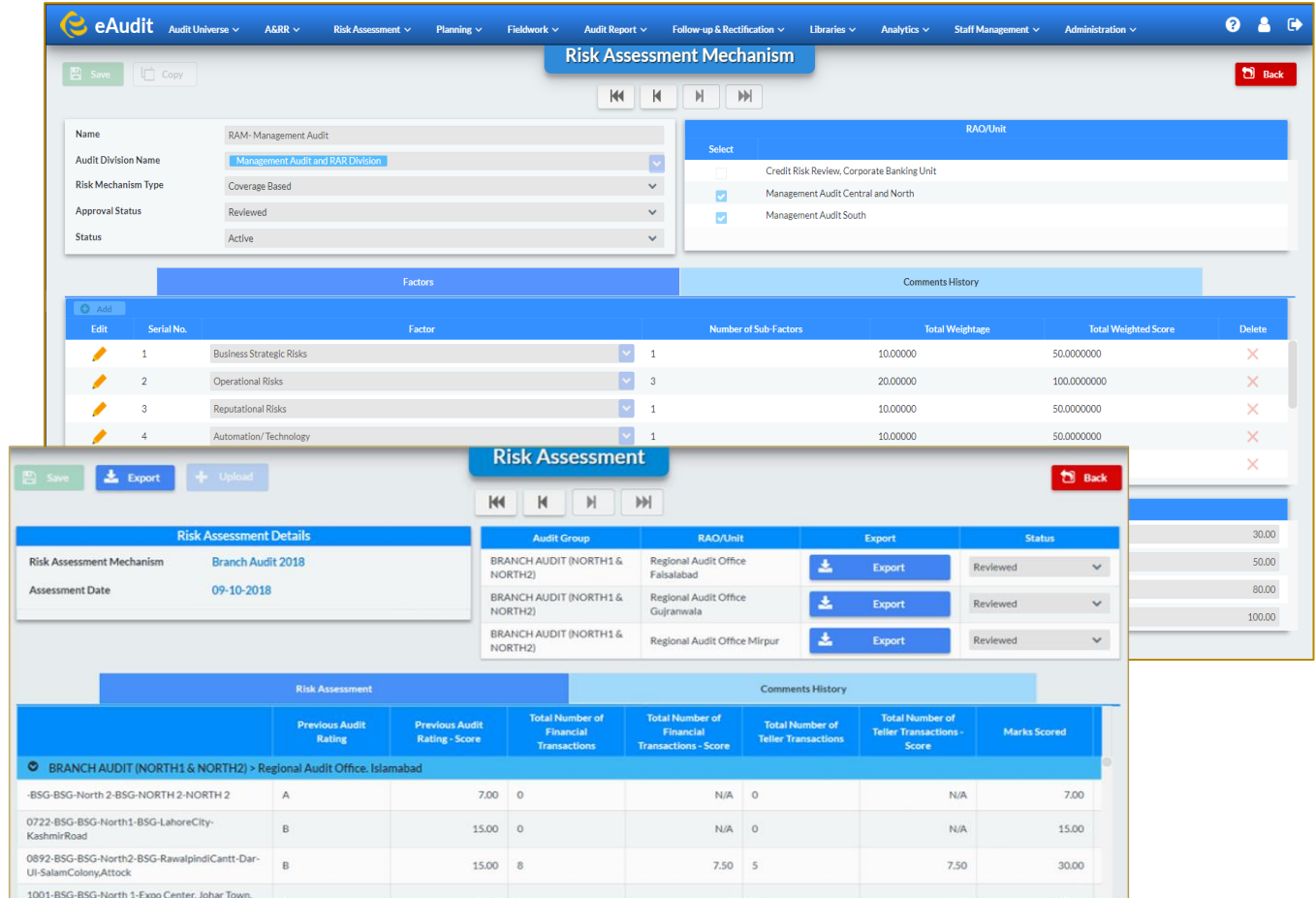
Edit	Sampling Techniques Name
	100% Sample
	Clustered - High Value (% of Amount)
	Clustered - High Value (% of Count)
	Clustered - Low Value (% of Amount)
	Clustered - Low Value (% of Count)
	Clustered - Random
	Day-Wise High and Low Value Sampling
	High Value Transactions (% of Amount)
	High Value Transactions (% of Count)
	Interval (Nth Values)

Risk Assessment

Configure **multi-level** risk assessments for each auditable entity within the system using **user-definable** factors, sub-factors, and weightages.

Risk Assessments can be configured based on:

- Quantitative data from previous audits
- Quantitative data from external systems
- Inherent Risk Ratings from Risk Register
- Qualitative values using subjective factors



The screenshot displays the eAudit Risk Assessment Mechanism interface. The top navigation bar includes options like Audit Universe, A&RR, Risk Assessment, Planning, Fieldwork, Audit Report, Follow-up & Rectification, Libraries, Analytics, Staff Management, and Administration. The main section is titled "Risk Assessment Mechanism" and contains a form for configuring a risk assessment. The form includes fields for Name (RAM- Management Audit), Audit Division Name (Management Audit and RAR Division), Risk Mechanism Type (Coverage Based), Approval Status (Reviewed), and Status (Active). A "Select" dropdown for RAO/Unit is also present, with options like Credit Risk Review, Corporate Banking Unit, Management Audit Central and North, and Management Audit South. Below the form is a table for "Factors" with columns for Edit, Serial No., Factor, Number of Sub-Factors, Total Weightage, Total Weighted Score, and Delete. The table lists four factors: Business Strategic Risks (1 sub-factor, 10.000000 weightage, 50.00000000 score), Operational Risks (3 sub-factors, 20.000000 weightage, 100.00000000 score), Reputational Risks (1 sub-factor, 10.000000 weightage, 50.00000000 score), and Automation/Technology (1 sub-factor, 10.000000 weightage, 50.00000000 score). Below the factors table is a "Risk Assessment" section with a table for "Risk Assessment Details" showing the mechanism (Branch Audit 2018) and assessment date (09-10-2018). To the right is a table for "Audit Group" with columns for Audit Group, RAO/Unit, Export, and Status. The table lists three audit groups: BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Faisalabad, BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Gujranwala, and BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Mirpur. Below the audit group table is a table for "Risk Assessment" with columns for Previous Audit Rating, Previous Audit Rating - Score, Total Number of Financial Transactions, Total Number of Financial Transactions - Score, Total Number of Teller Transactions, Total Number of Teller Transactions - Score, and Marks Scored. The table lists four audit groups: BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Islamabad, BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Faisalabad, BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Gujranwala, and BRANCH AUDIT (NORTH1 & NORTH2) for Regional Audit Office Mirpur.

Edit	Serial No.	Factor	Number of Sub-Factors	Total Weightage	Total Weighted Score	Delete
	1	Business Strategic Risks	1	10.000000	50.00000000	
	2	Operational Risks	3	20.000000	100.00000000	
	3	Reputational Risks	1	10.000000	50.00000000	
	4	Automation/Technology	1	10.000000	50.00000000	

Audit Group	RAO/Unit	Export	Status
BRANCH AUDIT (NORTH1 & NORTH2)	Regional Audit Office Faisalabad	Export	Reviewed
BRANCH AUDIT (NORTH1 & NORTH2)	Regional Audit Office Gujranwala	Export	Reviewed
BRANCH AUDIT (NORTH1 & NORTH2)	Regional Audit Office Mirpur	Export	Reviewed

	Previous Audit Rating	Previous Audit Rating - Score	Total Number of Financial Transactions	Total Number of Financial Transactions - Score	Total Number of Teller Transactions	Total Number of Teller Transactions - Score	Marks Scored
BRANCH AUDIT (NORTH1 & NORTH2) > Regional Audit Office, Islamabad							
-BSG-BSG-North 2-BSG-NORTH 2-NORTH 2	A	7.00	0	N/A	0	N/A	7.00
0722-BSG-BSG-North1-BSG-LahoreCity-KashmirRoad	B	15.00	0	N/A	0	N/A	15.00
0892-BSG-BSG-North2-BSG-RawalpindiCantt-Dar-UI-SalamColonyAttock	B	15.00	8	7.50	5	7.50	30.00
1001-BSG-BSG-North 1-Expo Center, Johar Town,							

Annual & Periodic Audit Planning

Plan your engagements for the entire audit year. Select entities, set tentative engagement timelines and assign audit teams for each Audit Group.

eAudit can **intelligently** suggest a **tentative annual plan** based on the risk assessment, date of last audit, and team utilization, including time and expense budgeting.

Users can **Periodically** re-evaluate the annual plan to adjust dates, audit teams, or even add/ remove engagements.

Create **ad-hoc** engagements beyond the annual plan.

eAudit

Audit Universe

A&RR

Risk Assessment

Planning

Fieldwork

Audit Report

Follow-up & Rectification

Libraries

Analytics

Staff Management

Administration

Save

Summary

Annual Audit Plan (2020)

Back

Audit Division

Management Audit and RAR Division

Review All

Export

Upload

Audit Department	Export	Annexure	Special Days	Review Status
Credit Risk Review, Corporate Banking Unit	<div><div></div><div>Export</div></div>	View	0	<div>Reviewed</div>
Management Audit Central and North	<div><div></div><div>Export</div></div>	View	57	<div>Reviewed</div>
Management Audit South	<div><div></div><div>Export</div></div>	View	76	<div>Reviewed</div>

Annual Audit Plan

Comments History

Edit	Sr.No	Entity	Audit Area	Last Audit Period End Date	Risk Rating	Control Environment	Frequency	Create Engagement
Management Audit and RAR Division >Credit Risk Review, Corporate Banking Unit >Regular								
<div></div>	1	IBG-Jinnah Avenue, Blue Area Branch Islamabad	5504-CORP-BR-IBG JINNAH-CB-IBG-ISDR-IBG-Corp-North-IBG	30-04-2019	Low	N/A		<div>Yes</div>
<div></div>	2	F-10 Markaz, Corporate Branch Islamabad	0131-CIBG-C&IBGLHR&ISD-CB-ISBR-CORP-BR-ISB	31-07-2019	Low	N/A		<div>Yes</div>
<div></div>	3	Quaid-e-Azam Road, Corporate Branch Multan	0285-CORP-BR-MUL-CB-MULR-C&IBG FSD & MUL-CIBG	31-12-2019	Low	N/A		<div>Yes</div>
<div></div>	4	B.A. Building, Corporate Branch Karachi	0005-CIBG-C&IBGSouth-CB-KHIR-CORP-BR-KHI	31-12-2016	Low	N/A		<div>No</div>

Engagement Planning - Communication

Define engagement scope and objectives, primary auditees, audit teams and timelines, and engagement specific approval workflows.

Link applicable pre-defined audit programs along with connected risk register and standard observation library

Generate engagement letter and engagement plan and share with action owners

The screenshot displays the 'Engagement Plan - Input' form within the eAudit system. The interface includes a top navigation bar with various modules like Audit Universe, A&RR, Risk Assessment, Planning, Fieldwork, Audit Report, Follow up & Rectification, Libraries, Analytics, Staff Management, and Administration. The form is divided into several sections: 'Engagement Details' at the top with fields for Audit Reference Number, Engagement Name, Audit Division, and Entity; a tabbed interface for 'Engagement Communication', 'Information Request', 'Entity Details', 'BPU & IPRA', 'Data Sampling', 'Audit Program', and 'Comments History'; a 'Primary Auditee' section with dropdowns for Team Lead, Auditee Department, and Location of Auditee; an 'Audit Period' section with date pickers; an 'Engagement Dates' section with date pickers; an 'Assignment Type' dropdown; an 'Audit Program' dropdown with a 'Change Audit Program' button; and an 'Access to Auditee' dropdown. On the right, there is an 'Approval Status' dropdown and a list of actions: Delay Engagement, Replace Engagement, Cancel Engagement, and Increase in Man Days. At the bottom, there are two text areas: 'Scope of the Engagement' and 'Objectives', both with rich text editors. The 'Scope of the Engagement' text area contains a paragraph about evaluating internal control systems and operational aspects. The 'Objectives' text area contains a bulleted list of objectives related to risk management, governance, and assurance.

Engagement Planning – Information Requests

Share pre-defined & new information requests for each engagement with auditors and auditees.

Schedule requirement deadlines and follow-up with reminders with escalation.

Receive documents and attachments through a simple-to-use portal interface

The screenshot shows the eAudit system interface. The top navigation bar includes the eAudit logo and several menu items: Audit Universe, A&RR, Risk Assessment, Planning, Fieldwork, Audit Report, Follow-up & Rectification, Libraries, and Administration. The current page is titled 'Engagement Plan - Input'. Below the title bar, there is a 'Save' button and a 'Back' button. The main content area is divided into two sections: 'Engagement Details' and 'Resource Requirements'.

Engagement Details

Audit Reference Number	100	Audit Group	SOUTH 2 - Sahiwal - Branch Audit Checklist
Engagement Name	0334-BSG-BSG-South2-BSG-Sahiwal-OKARA,DEPALPURROAD - Regular	Entity	0334-BSG-BSG-South2-BSG-Sahiwal-OKARA,DEPALPURROAD

Resource Requirements

Information / Documents / Resource Name	Source	Requested on	Required by	Received on	Supporting Documents	Comments	Delete
Charter of the group (Duly approved).	Internal Audit	29-09-2018			1 Items	Comments	X
Copies of any proposals sent to Management Committees or Bc	Internal Audit	29-09-2018			1 Items	Comments	X

Engagement Planning – Risk Assessment and Resources

Perform engagement level risk assessment to adjust likelihood and impact ratings and calculate inherent risk

Upload risk register within the engagement and fine-tune the audit scope

Assign working papers to team members

The screenshot displays the 'Engagement Planning – Risk Assessment and Resources' interface. It features a top navigation bar with tabs: Engagement Communication, Information Request, Entity Details, BPU & IPRA, Data Sampling, Audit Program, and Comments History. The main content area is divided into several sections:

- Processes:** A table listing various processes such as 'Car Parking', 'Staff Training-CSD', 'Mandatory Leaves-CSD', 'Account Opening', 'Repair and Maintenance', and 'Vendor Enrollment Process'. Each process has a 'Supporting Documents' column with a link to '0 Items' and an 'Unassign' button.
- Risks:** A table listing risks associated with the processes. For example, 'Car Parking' has risks like 'No policy or procedures are defined for the car parking' and 'A Car parking form containing information relating to each employee availing the facility/ checklist/ documentation is not maintained'. The table includes columns for Risk Family, Controls, Likelihood, Impact, Inherent Risk, and an 'Unassign' button.
- Controls:** A table listing controls for the risks. For example, 'Car Parking' has controls like 'Car Parking- No policy or procedures are defined for the car parking' and 'Car Parking- A Car parking form containing information relating to each employee availing the facility/ checklist/ documentation is not maintained'. The table includes columns for Control Category, Control Type, Control Owner, Control Frequency, Control Evidence, and an 'Unassign' button.

The interface also includes a 'View Deletions Log' button and a 'Copy Audit Program' button.

Engagement Communication			Information Request			Entity Details			BPU & IPRA			Data Sampling			Audit Program			Comments/History		
Add Working Paper			Assign			Add Review Note			Copy Audit Program			View Deletions Log								
Working Paper Code			Working Paper			Search			Assign To			Action								
CSDMP2000			Car Parking- No policy or procedures are defined for the car parking						22011-Abdul Waseed Hussain, 201901-01			1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000								
CSDMP2001			Car Parking-A car parking form containing information relating to each employee parking the facility/ checked/ documentation is not maintained.						22011-Abdul Waseed Hussain, 201901-01			1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000								
CSDMP2002			Car Parking- No policy or procedures are defined for the car parking						22011-Abdul Waseed Hussain, 201901-01			1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000								
CSDMP2003			Car Parking- No policy or procedures are defined for the car parking						22011-Abdul Waseed Hussain, 201901-01			1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57								

Audit Fieldwork

eAudit enables a centralized and standardized approach to audit execution.

Setup a standard observation library allowing auditors to select from a pre-defined list of exceptions for each test

eAudit supports multiple audit fieldwork methodologies including branch checklist, Item-based (Party-wise), and Working paper/RCM (descriptive) audits.

All fieldwork types can be linked with process library/ risk register.

The screenshot displays the eAudit Audit Execution interface. The top navigation bar includes tabs for Audit Universe, A&RR, ACOB, Risk Assessment, Planning, Fieldwork, Audit Report, Follow-up & Rectification, Libraries, Analytics, and a user profile icon. The main header is 'Audit Execution' with buttons for Export Fieldwork, Upload, and Review Notes. Below the header, there are tabs for General, Checklist, Findings, ORA, Observations, and Clubbed Observation. The 'General' tab is active, showing engagement details for 'Management Audit/2020/Marketing - 22-06-2020 - QA 1'. The details include Entity (8077-Retail-Marketing-HeadOffice-Products&Marketing), Year (2020), Team Lead (Mohammad Nasir), Fieldwork Status (Completed), and Shared with Auditee (Shared). A 'Save' button is present. Below the details is a 'Schedule' table with columns for Plan Start, Plan End, Actual Start, and Actual End, all showing dates from 22-06-2020. An 'Increase in Man Days' button is also visible. At the bottom, there is an 'Engagement Files' section with an 'Upload' button and a 'Delete' button. To the right of the engagement details, there is a 'Team Status' table and an 'Exception Analysis' table. The 'Team Status' table has columns for User, Assigned, Completed, Reviewed, Pending, Man-Days Budgeted, Man-Days Utilized, and Expenses. The 'Exception Analysis' table has columns for Observation Type/Root Cause and Count.

User	Assigned	Completed	Reviewed	Pending	Man-Days Budgeted	Man-Days Utilized	Expenses
Mohammad Nasir	1	1	1	0	2.00	0.00	0.00

Observation Type/Root Cause	Count
KYC/CDD-New Client Onboarding	0
KYC/CDD-Periodic Review	1
AML/CFT - Ongoing monitoring	0
Account Maintenance	0
Pensioner/Widow Accounts	0
Deceased Account	0
Dormant Accounts	0
Account Closing	0
Minor Accounts	1
BBA Accounts	0
Customer Instructions - Zakat Exemption	1
Mail Returned Undelivered / Whereabouts Unknown	0
Job Rotation	0
Mandatory Leave	0
Leave Management	0

Audit Fieldwork – Checklist (Instance-Based)

The checklist-based fieldwork type is specially developed for conducting branch audits with marking **instances** from the assigned sample against pre-defined exceptions.

The checklist fieldwork type can also be **linked with process library** for a more detailed audit approach

The screenshot displays the 'Audit Execution' interface with the following components:

- Engagement Details:**
 - Audit Reference Number: RAO-LHR/REG/2020/0710
 - Entity: 710-CH Society Lahore Cantt-BSG Lahore West-BSG-North 1-BSG
 - Year: 2020
 - Team Lead: Sajjad Ahmed
 - Fieldwork Status: Completed
 - Shared with Auditee: Shared
- Schedule:**

Plan Start	Plan End	Actual Start	Actual End
15-04-2020	28-04-2020	20-04-2020	18-08-2020
- Man Days Utilization:**

User	Team	Assigned	Completed	Reviewed	Pending	Man-Days Budgeted	Man-Days Utilized	Expenses
Muhammad Mohsin Iqbal	Branch	4882	4886	4886	0	11.00	0.00	0.00
Junaid Naesim Butt	Branch	2691	2691	2691	0	11.00	0.00	0.00
Sajjad Ahmed	Branch	4882	4886	4886	0	11.00	0.00	0.00
- Checklist Completion Status:**

Activity	Strata	Assigned	Completed	Reviewed	Pending
ABRTC Issued	ABRTC Issued	62	62	62	0
ABRTC Paid	ABRTC Paid	32	32	32	0
ABRTC in Hand	ABRTC in Hand	2	2	2	0
AML Alerts	Closed AML Alerts	5	5	5	0
ATM Cash Overage	ATM Cash Overage	5	5	5	0
ATM Downtime	ATM Downtime	6	6	6	0
ATM Excess Cash	ATM Excess Cash	3	3	3	0
ATM Issued	ATM Issued	24	24	24	0
ATM Replenishment	EP-ATM Replenishment	5	5	5	0
Account Amendment	Account Amendment	2	2	2	0
Account Opening	ABL Staff	336	336	336	0
Account Opening	Arms & Ammunition Dealer	348	348	348	0
Account Opening	CNG / Petrol Station	348	348	348	0
- Instances Rectification Status:**

Activity	Strata	Instances	Agreed	Spot Rectified	Outstanding
ATM Cash Overage	ATM Cash Overage	3	3	0	3
ATM Issued	ATM Issued	71	71	0	71
- Search Fields:**
 - Activity: All, Category: All, Risk Factor: All, Test: []
 - Activity Strata: All, Level 1: All, Level 2: All, Level 3: All
 - Assigned to: All, Review Status: All
 - Exception Source: All, Exception Type: All
- ATM Cash Overage / ATM Cash Overage:**
 - Population (10), Sample (10), Show Supporting Data, Recommend New Exception
 - Buttons: Perform All, Submit All, Review All, Revert All
 - Exceptions Table:**

Edit	Type	Planned Audit Test	Exception	Instances	Risk Factor	Auditor	Status
	General Exceptions	T-0902005.003: Whether complete Record of entries transferred to ATM Cash Overage Account was maintained by branch?	E-0902005.003: ATM Cash Overage Account was not maintained by branch on prescribed MIS format.	1:2 FI:0	Medium Risk	Muhammad Mohsin Iqbal, Sajjad Ahmed	Reviewed
	Exceptions from EP Sample	T-0902005.007: Check whether entries posted with complete information in ATM cash coverage G/L by the branch?	E-0902005.007: Amount in ATM cash coverage was returned to customer without approval/mail.	1:0 FI:1	Medium Risk	Muhammad Mohsin Iqbal, Sajjad Ahmed	Reviewed

Audit Fieldwork – Working Paper (Descriptive Audits)

The working paper fieldwork type allows for conducting **descriptive audits** for manually drafting findings and observations, and includes the option of **linking** processes, risks, and controls to working papers for a more systematic approach to descriptive audits ideal for Management or IS/IT engagements.

The screenshot displays the 'Audit Execution' system interface, divided into two main panels: 'Observation Details' and 'Application controls'.

Observation Details Panel:

- Title:** Test Observation 2 - Non Regulatory - Repeated - Low - On Time
- Type:** Exception
- Observation Type/Root Cause:** Customer Instructions - Zakat Exemption
- Root Cause:** System Gap
- Risk Factor:** Low
- Risk Type:** Financial
- Responsibility:** Finance
- Person Responsible:**
- Financial Impact:**
- Reportable:** Yes
- Significant:** No
- Regulatory:** No
- Repeated:** Yes
- Resolution Status:** Unresolved
- Timeline:** 30-06-2020

Application controls Panel:

- Process:** Cash Management Operations
- Risk:** Excessive access rights might reside with the operations staff and clients' information might be inadequately managed at the operations end.
- Control:** Evaluate the application controls and check whether operations staff have only limited rights according to their user profile.
- Control Effectiveness:** Select
- Update Control Effectiveness:** Button
- Sample (71):** Button
- Export Working Paper:** Button
- Submit All:** Button
- Review All:** Button

Tests Panel:

Planned Audit Test	No. of Findings	Status
Checked application controls over inputter and authorisers including FRC and Cash-link.	3	Reviewed

Audit Fieldwork – Item-Based (Party-wise) Audits

The item-based fieldwork type is specially designed to manage **portfolio audits** and allows for the **party-wise** execution of a checklist.

The item-based fieldwork type can also be **linked** with process library for a more detailed audit approach

The screenshot shows the 'eAudit' interface with the 'Audit Execution' tab selected. The interface includes a navigation bar with various menu items and a 'Back' button. The main content area is divided into several sections: 'General', 'Checklist', 'Findings', 'Observations', and 'Clubbed Observation'. The 'Checklist' section is active, showing a table of tests. The table has columns for 'Test Code', 'Planned Audit Test', 'No. of Findings', and 'Status'. The tests listed are:

Test Code	Planned Audit Test	No. of Findings	Status
T-044.1	Was business Targets met by Regional Corporate Office?	2	Reviewed
T-044.2	Was Staff has trainings as required under SBP Circular?	1	Reviewed
T-044.3	Is there any shortage in staff noticed with respect to approved Organogram?	1	Reviewed

The screenshot shows a detailed checklist table with columns for 'Performed', 'N/A', 'Exception Noted', 'Significant', 'Category', 'Test Code', 'Test Description', 'Observation Area', 'Exception Code', and 'Exception'. The table contains several rows of data, including:

Performed	N/A	Exception Noted	Significant	Category	Test Code	Test Description	Observation Area	Exception Code	Exception
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		A79	Call reports by BU including the reference to the impact created by the company's operations on the environment along with assessment of company's ability to withstand environmental challenges and	e-CIB Report Deficiency	104	Is revaluation of pledged stocks was conducted by referring the matter to Group Chief RMG and obtaining his formal approval for revaluation?
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		913	Check that Borrower's requirements / needs were adequately analysed in the Credit Memorandum and limits were proposed after careful analysis of the borrower's business needs.	e-CIB Report Deficiency	104	Is revaluation of pledged stocks was conducted by referring the matter to Group Chief RMG and obtaining his formal approval for revaluation?
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		912	Check that the Facility structure/ transaction / procedure explanation was properly incorporated in the last CIB.	Discrepancy in Force Sale Value	104	Is revaluation of pledged stocks was conducted by referring the matter to Group Chief RMG and obtaining his formal approval for revaluation?
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		911	Check that PR compliance checklist as required in CPM was found attached with the credit memorandum and ensure that SBP compliance requirements were adequately addressed in the	Select	104	Is revaluation of pledged stocks was conducted by referring the matter to Group Chief RMG and obtaining his formal approval for revaluation?

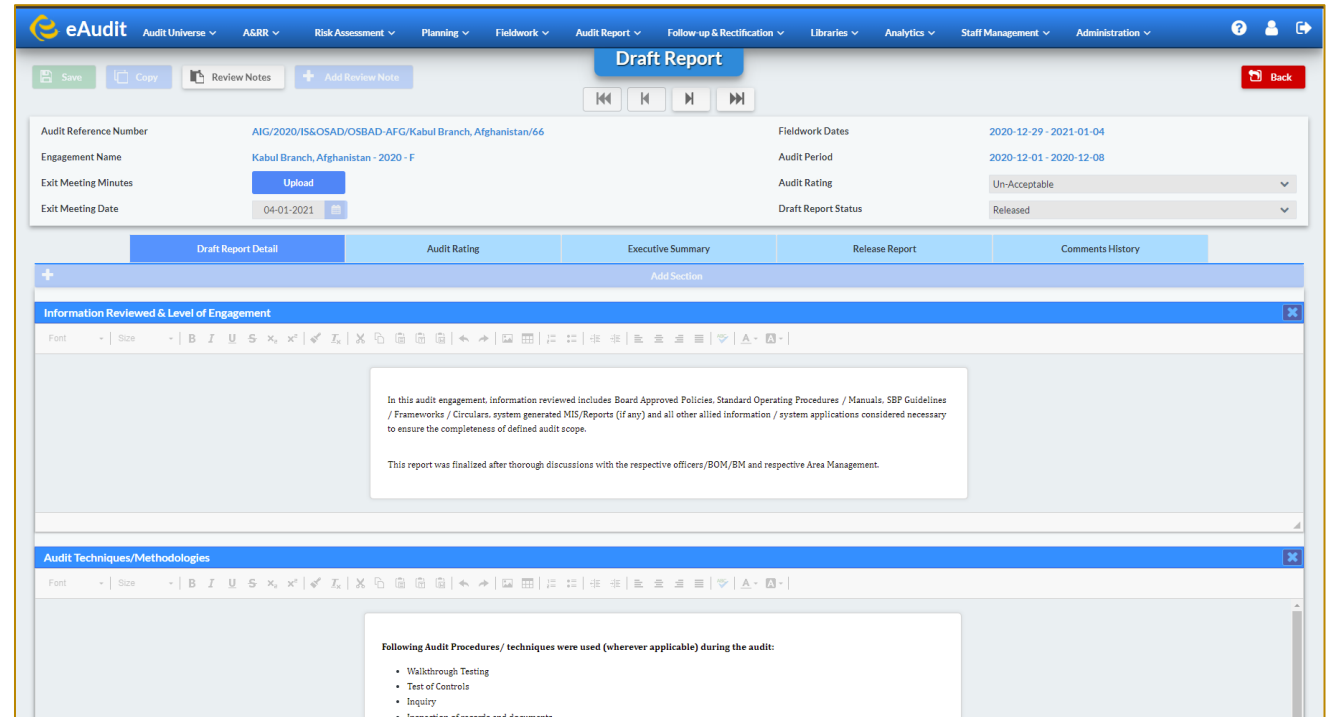
Draft & Final Reporting

Use eAudit to **generate** audit reports incorporating **customized** audit report formats enabling **standardization** and **efficiency**

Reduce time spent typically required for drafting audit reports manually

Add multiple **content types** including text, images, tables, and new sections with the ability to view changes instantly

Receive auditee feedback and resolution timelines against observations through the included **auditee portal** and automatically incorporate in audit reports



The screenshot displays the 'eAudit' software interface for creating a draft report. The top navigation bar includes tabs for 'Audit Universe', 'A&RR', 'Risk Assessment', 'Planning', 'Fieldwork', 'Audit Report', 'Follow up & Rectification', 'Libraries', 'Analytics', 'Staff Management', and 'Administration'. The 'Draft Report' tab is active, showing a 'Save', 'Copy', 'Review Notes', and 'Add Review Note' toolbar. Below this, a form contains fields for 'Audit Reference Number' (AG/2020/IS&OSAD/OSBAD-AFG/Kabul Branch, Afghanistan/66), 'Engagement Name' (Kabul Branch, Afghanistan - 2020 - F), 'Fieldwork Dates' (2020-12-29 - 2021-01-04), 'Audit Period' (2020-12-01 - 2020-12-08), 'Exit Meeting Minutes' (with an 'Upload' button), 'Exit Meeting Date' (04-01-2021), 'Audit Rating' (Un-Acceptable), and 'Draft Report Status' (Released). A tabbed interface below the form shows 'Draft Report Detail', 'Audit Rating', 'Executive Summary', 'Release Report', and 'Comments History'. The 'Draft Report Detail' tab is selected, displaying a rich text editor with a toolbar. The editor contains two sections: 'Information Reviewed & Level of Engagement' and 'Audit Techniques/Methodologies'. The first section contains text about the audit engagement and a note that the report was finalized after discussions. The second section contains a list of audit techniques used, including Walkthrough Testing, Test of Controls, Inquiry, and Inspection of records and documents.

Compliance & Follow-up

The Follow-up module is used to **track** all findings, observations, instances, and audit recommendations.

Auditee access can be **provided** to view and provide feedback against assigned follow-up items.

Track individual item resolution status with integration with user **dashboards** and **MIS** for real-time item closure tracking.

Configure **reminder schedules** for automatic email **escalation** for unresolved items

eAudit

Fieldwork

Follow-up & Rectification

?

Compliance Setup

Back

File Closed

Entity5512-Branch-IBG-RBO-IBG-S-IBG-AO-KHI-S-ISFK

Engagement NameIBG Shahrāh e Faisal Karachi - 2021

Year2021

Release Date26-01-2021

Exception Summary

Total	Spot Rectification	Hardcore	Noted	Closed	Condoned	Pending Verification	Resolved	Unresolved
8	1	0	0	1	0	2	0	4

Outstanding

Edit	Person Responsible	Resolved	Outstanding	Assigned to	Deadline For Response	Pending With	Submitted By
	Mehwish Javed, Abid Ali A	0	1	Rahim Rafiq		Compliance Head	Noman Khalid
	Abid Ali A	0	2	Muhammad Adnan Latif	29-01-2021	Auditee	Imran Sadiq Sheikh
	Abid Ali A, Muhammad Imran	0	1	Muhammad Adnan Latif		Compliance Head	Imran Sadiq Sheikh

Closed

Edit	Person Responsible	Closed	Assigned to	Submitted By
	Mehwish Javed	3	Rahim Rafiq	Noman Khalid

MIS Reporting

eAudit includes a variety of meaningful **MIS reports** from risk assessment to follow-up.

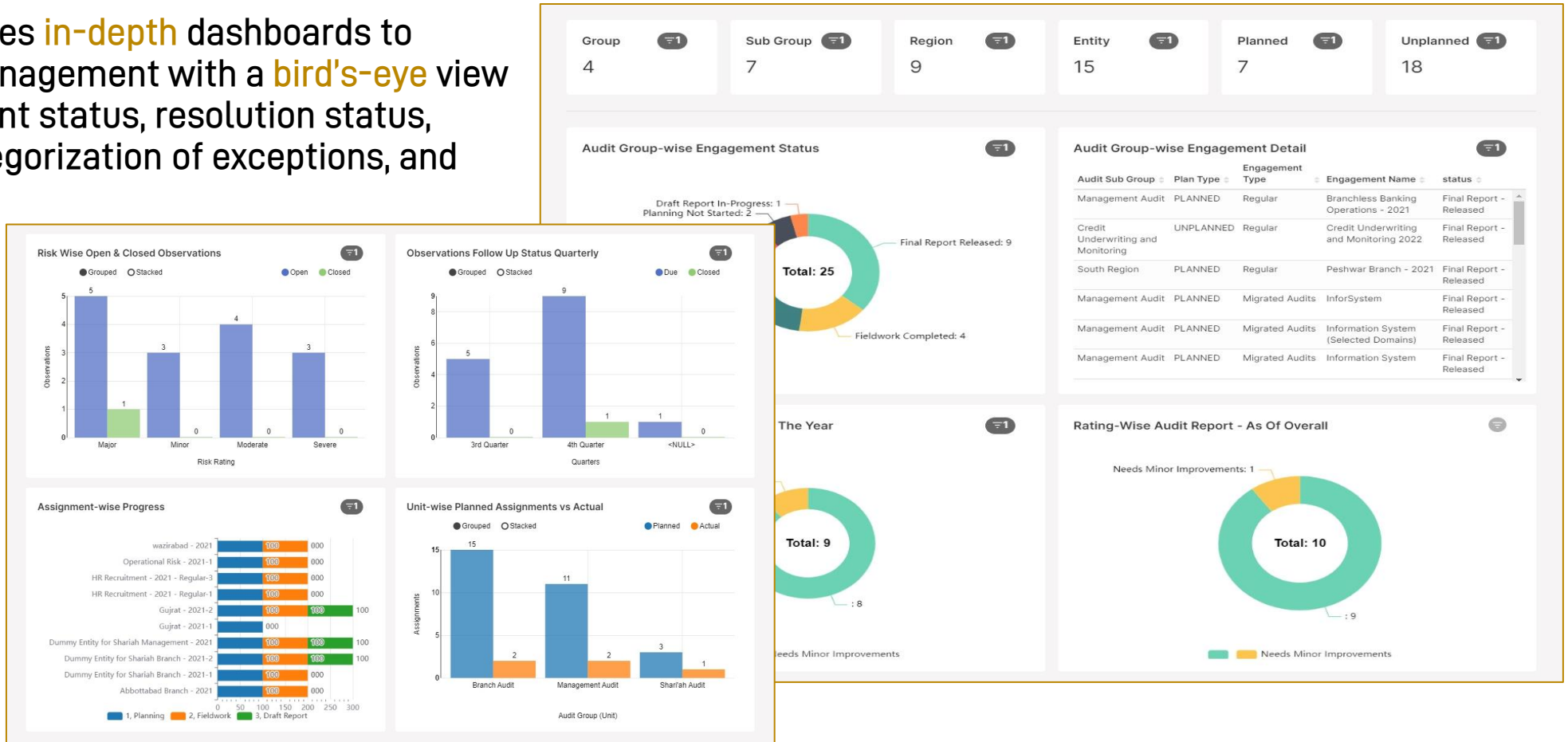
Customized reports can be developed based on existing formats.

eAudit implementation includes an **integrated** reporting server tool which can be used to **develop** and **publish** new reports.

Approved Audit Plan										
Year										
Engagement Type										
Annual Audit Plan										
All										
All										
Planned Assignments										
ID	Project Number	Engagement Name	Audit Area	Risk Rating	Reason/Comments	Engagement Type	Planned start date	Planned End Date	Team Lead	Status
1	1001	Branch Audit	DOM_BR-SOUTH-CUFTON-BRAUDIT	Medium		New Exercise	03-Jul-2017	31-Jul-2017	Auditor1	Final Report - In Progress
2	1002	REVIEW OF RECRUITMENT PROCESS FOLLOWED BY INDUSTRIAL RELATIONS DEPARTMENT FOR RECRUITMENT OF NON-MPT WORKERS FUNCTIONAL REVIEW	DOM_BR-CENTRAL-ALAZAM_SQR_KHI-BRAUDIT			Migrated Audits	01-Jul-2015	30-Jun-2016		Final Report - Released
3	1009	REVIEW OF PROCEDURES FOR RECORDING AND REPORTING OF THEFT CASES TOGETHER WITH INSURANCE CLAIMS LODGED AND ITS FOLLOW-UP TRANSACTION TESTING	DOM_BR-CENTRAL-ALAZAM_SQR_KHI-BRAUDIT			Migrated Audits	01-Jul-2015	30-Jun-2016		Final Report - Released
Unplanned Assignments										
ID	Project Number	Engagement Name	Audit Area	Risk Rating	Reason/Comments	Engagement Type	Planned start date	Planned End Date	Team Lead	Status
1	1010	test by Abdul Ghaffar on April 21	DOM_BR-SOUTH-DATA_CENTRE_AUDIT-IT_AUDIT	High	test by Abdul Ghaffar on April 21	New Exercise	21-Apr-2017	21-Apr-2017	Auditor2	Planning - In Progress
Planned Assignments										

Dashboards and Analysis

eAudit provides **in-depth** dashboards to empower management with a **bird's-eye** view of engagement status, resolution status, budgets, categorization of exceptions, and more.



■ Thematic/ Special Assignments

Use eAudit to perform **thematic assignments** across **multiple** audit entities, processes, regions, or even the entire organization.

Implement a systematic approach to internal auditing that focuses on specific themes or areas within an organization. Going beyond the traditional financial and compliance audits and delve into specific processes, risks, or strategic initiatives.

Utilize customized thematic audit report formats to ensure compliance with internal audit processes.

The screenshot displays the eAudit 'Special Review' interface. The top navigation bar includes 'eAudit', 'Audit Universe', 'A&RR', 'Risk Assessment', 'Planning', 'Fieldwork', 'Audit Report', 'Follow-up & Rectification', 'Libraries', and 'Administration'. The 'Special Review' section is active, showing a 'Save' button and navigation controls. The 'Special Review Details' panel includes fields for 'Audit Reference Number' (1200), 'Assignment Name' (Desktop Review Allied Asaan Account), 'Audit Period' (01-07-2018 to 31-07-2018), 'Assignment Dates' (28-07-2018 to 28-07-2018), and 'Entity' (BSG-North 1(Sub-Group)). The 'Status Details' panel shows 'Planning Status' (Reviewed), 'Execution Status' (Reviewed), 'Report Status' (Released), and 'Auditee Feedback' (Sent to Auditee). Below these are tabs for 'Plan', 'Execution', 'Attachment', 'Report', and 'Comments History'. The 'Scope' and 'Objective' panels contain text describing the audit purpose and scope. The 'Sample' table shows 'Account Opening' with a population of 31 and a sample of 31. The 'Team' table lists team members and their roles.

User Name	Role	Sequence Number	Unassign
Ahad Alamgir	Planning Preparer, Planning Reviewer	.1	×
Rana Zeeshan	Execution Preparer, Execution Reviewer	.1	×
Fatima Amman	Report Reviewer	1	×
Imran Maqsood	Report Reviewer	2	×

Investigations

Use eAudit to record **preliminary reports** of fraud & forgery by field teams

Record instances of **whistle blowing**

Track losses due to fraud and leakage of income

Conduct **investigations** using a specialized interface allowing detailed and separate tracking of data points.

The screenshot displays the eAudit system interface, which is used for recording and tracking fraud and forgery incidents. The interface is divided into two main sections: Investigation and Preliminary Report.

Investigation Module:

- Investigation Header:** Contains fields for Source (Whistle Blow), Referred by (ICD, Compliance Group, HRG or any other bank's Group), Referred to (Aneel Kumar), Investigation No. (WB-2018-00003), Title (Fraud by Zardari), Date of Reporting (18-07-2018), and Nature (Fraud & Forgery). It also includes Entity (BSG-ABBOT TABAD(Region)), Planning Status (Work in progress), Execution Status (Not Started), Report Status (Not Started), and Recipients.
- Plan Tab:** Shows Date of Occurrence (18-07-2018), Date of Detection (18-07-2018), Estimated Amount (2,000,000.00), and Modus Operandi.
- Audit Area Table:** A table with columns for Audit Area, Entity Size, Risk Rating, Audit Rating, and Fraudulent History. The data row shows: -BSG-BSG-North 2-BSG-NORTH 2-NORTH 2, Medium, A, and a small icon.

Preliminary Report Module:

- Report ID:** PR-NORTH 1-2018-0002
- Title:** Preliminary Report for Branch Audit by FAR
- Status:** Reviewed
- Entities:** BSG-North 1(Sub-Group)
- Preliminary Report Details:** Contains fields for Date of Reporting (14-09-2018), Date of Occurrence (14-09-2018), Brief of Incident (Fraud for ATM Transactions), Estimated Amount (200,000.00 Rupees), and Supporting Documents (1 Items).
- Comments Table:** A table with columns for User Name, Date, Comments, and Approval Status. The data row shows: Imran Maqsood, 26-11-2018 10:51 AM, test, and Reviewed.
- Designation Table:** A table with columns for Designation, Fraudulent History, and Delete. The data rows show: Team Member, No, and a delete icon.

Quality Assurance

eAudit includes a **comprehensive** Quality Assurance module for review of engagements during the audit process as well as after release of final report.

On-going quality assurance allows for review during the regular audit process using quality assurance checklists and can be configured to be mandatory for high-risk engagements

Post-engagement quality assurance allows for review of the entire engagement audit cycle and includes resolution tracking

Quality Assurance Review

Quality Assurance Details

Audit Reference No: 001-mull-029-RHA Gujranwala/BRAC-FSA-01-10/2021-22

QA Assignment Name: null-Bhau Ghaseetpur - 2021

Assignment Dates: 16-12-2021 - 30-12-2021

Primary Auditee: [Dropdown]

Review Status: Not Started

Print Letter | Send Email

Audit Reference No	Engagement Name	Audit Group	Audit Area	Entity Size	Team Lead	Start Date	End Date	Risk Rating	Audit Rating	Details
029-RHA Gujranwala/BRAC-FSA-01-10/2021-22	Bhau Ghaseetpur - 2021	General Manager Audit Circle North	1352-1352-Mandi Bahaudin-RBG Central-RBG	N/A	Mohsin Mumtaz	02-11-2021	02-11-2021	N/A	N/A	Planning Fieldwork Draft Report Final Report

Details | Planning | QA Review | Observations | Release Report | Attachments | Comments

EXECUTIVE SUMMARY - BACKGROUND

Font | Size | B | I | U | S | X | [Icons]

EXECUTIVE SUMMARY - SUMMARY OF AUDIT RATING

Font | Size | B | I | U | S | X | [Icons]

Based on the internal audit carried out for the period and criteria laid down in Internal Audit Manual version 7.0, the audit rating of [NENGAGEMENT_NAME] has been concluded as under-

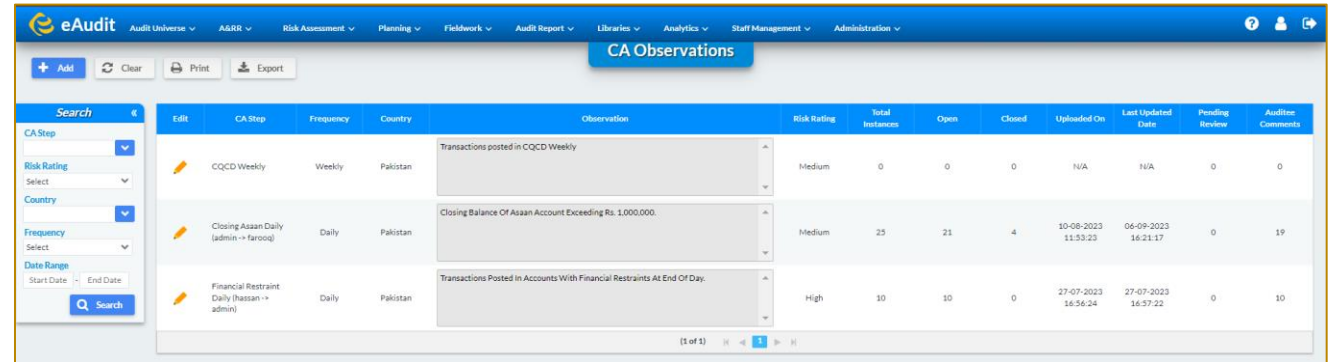
Management Audit	Current Rating	Previous Rating
[NENGAGEMENT_NAME]	[NCURRENT_RATING]	[NLAST_YEAR_RATING]

Audit Rating Legends as per Internal Audit Manual (version 8.0):
Satisfactory - I

Continuous Audit

eAudit's continuous audit module provides the option for **ongoing assurance** about the accuracy and reliability of an organization's internal controls rather than waiting for a periodic audit to be conducted. It can also help organizations to:

- Automatically identify and report risks and issues
- Improve the efficiency and effectiveness of business operations
- Enhance compliance with regulatory requirements
- Gain a better understanding of organizational risks and opportunities



CA Step	Frequency	Country	Observation	Risk Rating	Total Instances	Open	Closed	Upload Date	Last Updated Date	Pending Review	Audited Comments
COCD Weekly	Weekly	Pakistan	Transactions posted in COCD Weekly	Medium	0	0	0	N/A	N/A	0	0
Closing Asaan Daily (admin -> farooq)	Daily	Pakistan	Closing Balance Of Asaan Account Exceeding Rs. 1,000,000.	Medium	25	21	4	10-08-2023 11:53:23	06-09-2023 16:21:17	0	19
Financial Restraint Daily (hasan -> admin)	Daily	Pakistan	Transactions Posted in Accounts With Financial Restraints At End Of Day.	High	10	10	0	27-07-2023 16:56:24	27-07-2023 16:57:22	0	10

Offline Audits

eAudit enables audit teams to perform their engagements **offline**.

Audit activities can be **exported** into an Excel Workbooks prior to the engagement.

Name	Date modified	Type	Size
1067 - Entity Name - 2017	23-Oct-17 3:36 PM	File folder	
1067 - Entity Name - 2017	23-Oct-17 3:36 PM	WinRAR archive	33 KB

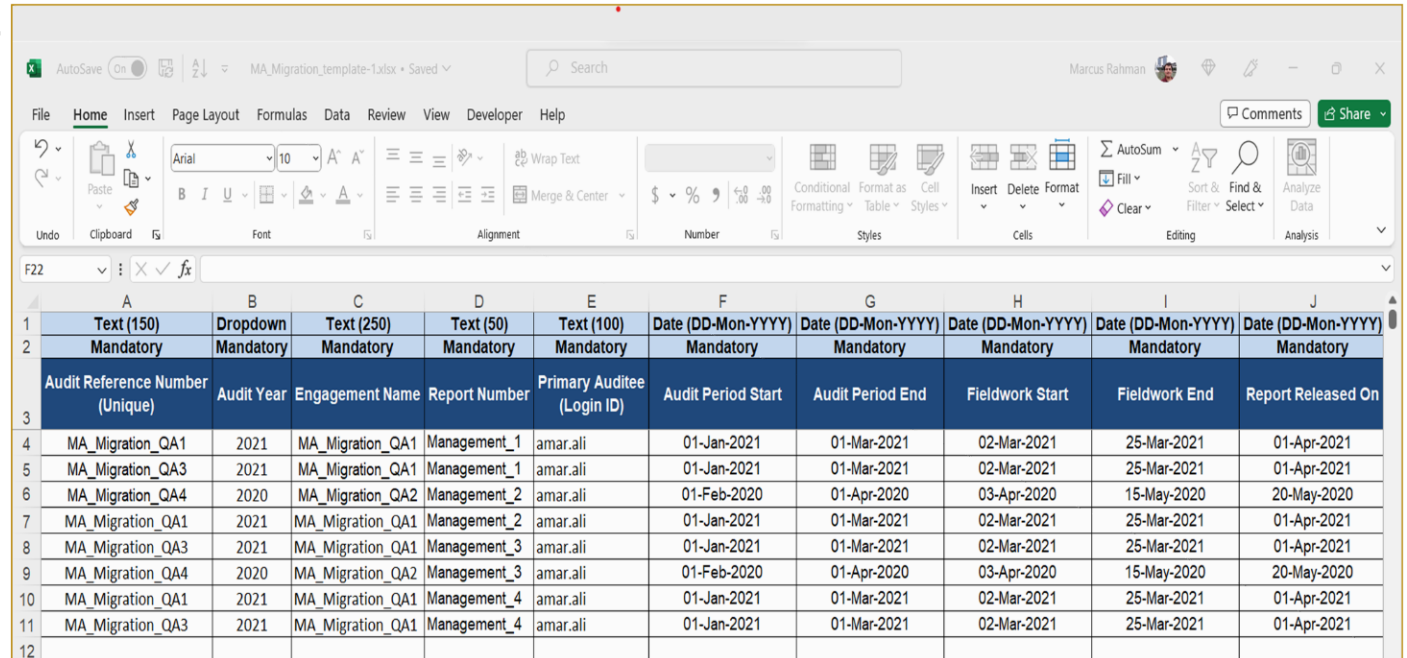
Name	Date modified	Type	Size
Working Papers	23-Oct-17 3:33 PM	File folder	
Checklist Items	23-Oct-17 3:33 PM	Microsoft Excel W...	7 KB
Findings	23-Oct-17 3:33 PM	Microsoft Excel W...	7 KB

[illegible]

Data Migration

eAudit includes a module for the front-end **migration of outstanding observations**, quality assurance engagements, and third-party audits.


Migrated observations can be tracked using the compliance and follow-up module



	A	B	C	D	E	F	G	H	I	J
1	Text (150)	Dropdown	Text (250)	Text (50)	Text (100)	Date (DD-Mon-YYYY)	Date (DD-Mon-YYYY)	Date (DD-Mon-YYYY)	Date (DD-Mon-YYYY)	Date (DD-Mon-YYYY)
2	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory	Mandatory
3	Audit Reference Number (Unique)	Audit Year	Engagement Name	Report Number	Primary Auditee (Login ID)	Audit Period Start	Audit Period End	Fieldwork Start	Fieldwork End	Report Released On
4	MA_Migration_QA1	2021	MA_Migration_QA1	Management_1	amar.ali	01-Jan-2021	01-Mar-2021	02-Mar-2021	25-Mar-2021	01-Apr-2021
5	MA_Migration_QA3	2021	MA_Migration_QA1	Management_1	amar.ali	01-Jan-2021	01-Mar-2021	02-Mar-2021	25-Mar-2021	01-Apr-2021
6	MA_Migration_QA4	2020	MA_Migration_QA2	Management_2	amar.ali	01-Feb-2020	01-Apr-2020	03-Apr-2020	15-May-2020	20-May-2020
7	MA_Migration_QA1	2021	MA_Migration_QA1	Management_2	amar.ali	01-Jan-2021	01-Mar-2021	02-Mar-2021	25-Mar-2021	01-Apr-2021
8	MA_Migration_QA3	2021	MA_Migration_QA1	Management_3	amar.ali	01-Jan-2021	01-Mar-2021	02-Mar-2021	25-Mar-2021	01-Apr-2021
9	MA_Migration_QA4	2020	MA_Migration_QA2	Management_3	amar.ali	01-Feb-2020	01-Apr-2020	03-Apr-2020	15-May-2020	20-May-2020
10	MA_Migration_QA1	2021	MA_Migration_QA1	Management_4	amar.ali	01-Jan-2021	01-Mar-2021	02-Mar-2021	25-Mar-2021	01-Apr-2021
11	MA_Migration_QA3	2021	MA_Migration_QA1	Management_4	amar.ali	01-Jan-2021	01-Mar-2021	02-Mar-2021	25-Mar-2021	01-Apr-2021
12										

ACOB Meetings

Use eAudit to **schedule** Audit Committee Meetings including defining the meeting **agenda**, recording meeting **minutes**, defining **actionable items** with due dates, and **tracking** their completion.


Audit Universe
A&R
ACOB
Risk Assessment
Planning
Fieldwork
Audit Report
Follow up & Rectification
Libraries
Analytics
Administration

Save
+ Email

ACOB Meeting Detail
Back

Meeting Name
Testing 6-Aug-20
Meeting Type
ACOB
Meeting Date
18-08-2020

Agenda Status
Reviewed
Meeting Status
Concluded

Agenda

Minutes of the Meeting

Actionable Items

Comments

+ Add
Export Item List
Export
Report Delivered Items

Sr.No	Item	Details	Other Group	Other Group Name	Status	Attachment	Comments	Delete
1	Audit Letter	Q2-2020	<input type="checkbox"/>		Pending	View / Upload	View / Upload	X
2	Activity Review Report		<input type="checkbox"/>		Pending	View / Upload	View / Upload	X
			<input type="checkbox"/>		Pending	View / Upload	View / Upload	X

Agenda Items

Comments

Attachment	Comments	Circulate	Delete
View / Upload		Circulate	X

Agenda Recipients

Designation	Email	Comments	Delete

Agenda		Minutes of the Meeting		Actionable Items		Comments			
Actionable Items									
Action Item Number	Action Point	Send To	Due Date	Completion Date	Status	Attachment	Comments	Circulate	Done
1 / Testing 6-Aug-20 / 2020-08-18	xyz	PMD - Group Head		06-08-2020	Completed	View / Upload		Circulate	
2 / Testing 6-Aug-20 / 2020-08-18	123	Human Resource Group			Not Started	View / Upload		Circulate	
3 / Testing 6-Aug-20 / 2020-08-18	Outstanding	PMD - Unit Head	07-08-2020		In Progress	View / Upload		Circulate	
4 / Testing 6-Aug-20 / 2020-08-18	123	PMD - Group Head	06-08-2020		Not Started	View / Upload		Circulate	

■ Implementation Methodology

Our methodology is designed to ensure that eAudit is deployed in a **controlled and efficient** manner with carefully defined entry and exit criteria to ensure **successful delivery**.

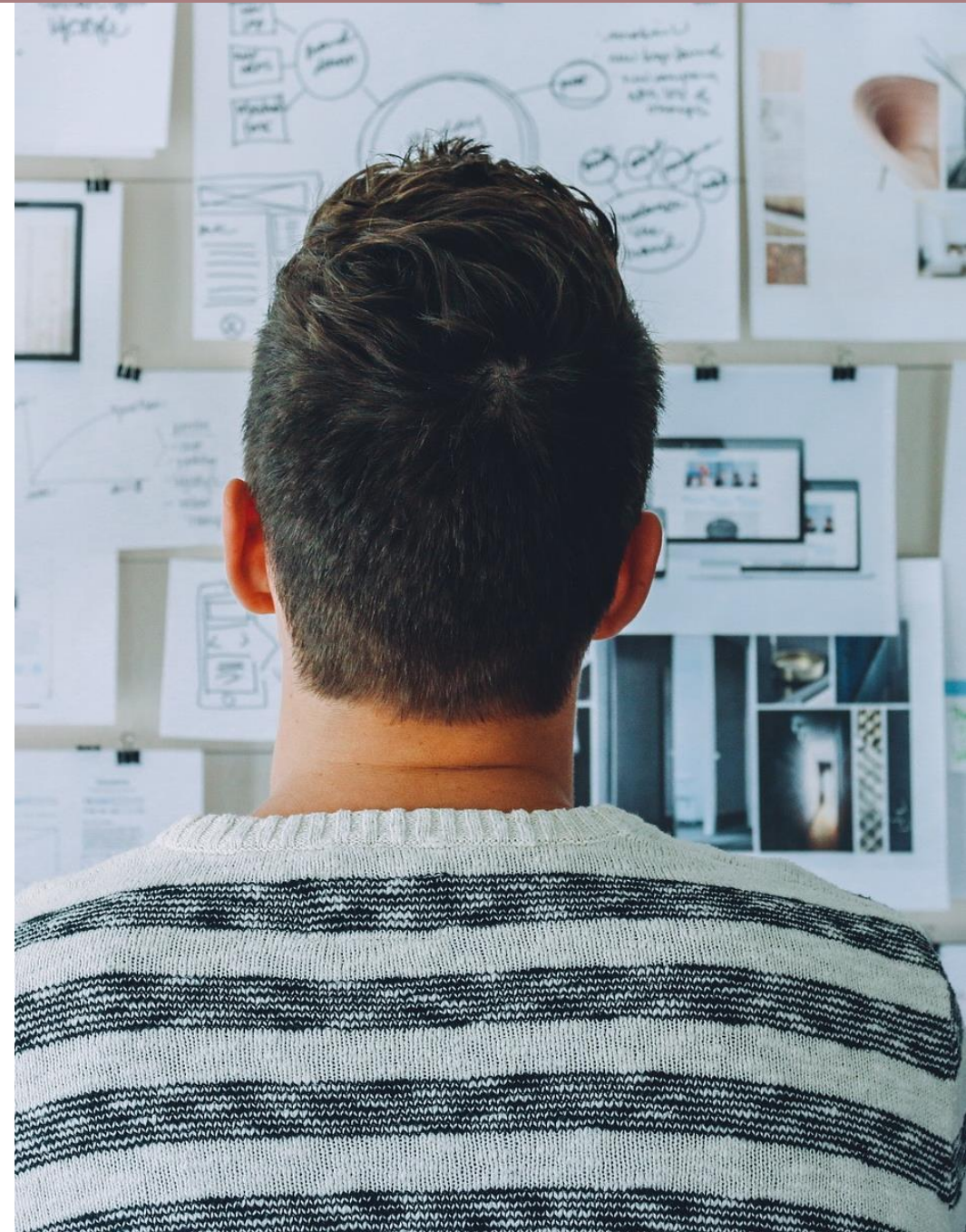
The focus is on delivering a **high-quality solution** that meets the requirements of stakeholders and provides a **positive user experience**. Additionally, our process allows for **customization** of the software to meet specific requirements.



■ Understanding and Planning

Gap Analysis: The first step in the implementation process is to gather any additional client requirements and document any system gaps. This involves understanding the specific needs of the stakeholders, identifying any new features and functionalities required in the software, and finalizing the scope of the project.

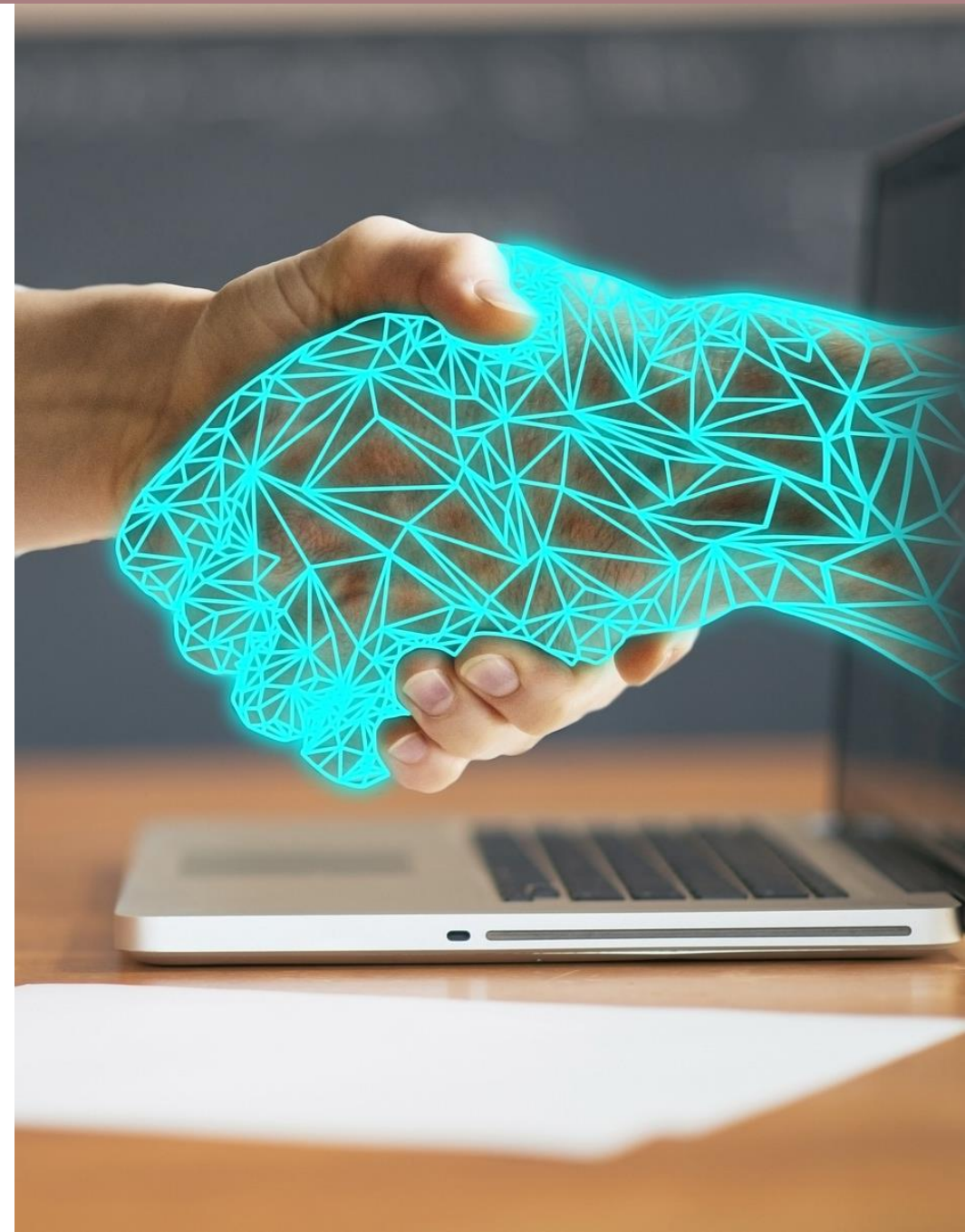
Planning and Design: The next step is to plan and design any changes. The aim of this phase is to produce a detailed technical design that outlines the new software requirements, and the steps required to implement them.



■ Development and Acceptance

Configuration and Development: In this phase, the software is configured and customized. This involves setup of the solution to meet requirements along with development of custom audit reports, rating methodologies, and any additional changes to meet client requirements.

User Acceptance Testing: The next step is to perform user acceptance testing, where the software is tested by the end-users to ensure that it meets their requirements.



■ Deployment and Support

Deployment and Training: The final phase of the implementation process is to deploy the software and provide training to the users. This involves installing the software on the production environment, configuring it, and providing training to the end-users on how to use the software effectively.

Maintenance and Support: Following successful delivery our maintenance and support services involves providing ongoing support to the users, fixing any bugs or issues that arise, and updating the software to ensure that it remains relevant and effective.



Support Services

Assistance with System Configuration

User Guides & Technical Manuals

Expert Functional & Technical Support

Timely Updates & New Features

Seamless Change Management

Contact Us

Duncan Rahman

CEO, Head of Technology

Email: Duncan.rahman@rrsystems.pk

Mob: +92-334-365-5685

Tel: +92-213-588-2626

Karachi, Pakistan

Marcus Rahman

Director, Head of Implementation

Email: Marcus.rahman@rrsystems.pk

Mob: +92-336-262-0666

Tel: +92-213-588-2626

Karachi, Pakistan

